

SCC eFile	2013 ANNUAL REPORT COMMONWEALTH OF VIRGINIA STATE CORPORATION COMMISSION	213563645			
<div style="display: flex; justify-content: space-between;"> <div style="width: 60%;"> <p>1.) CORPORATION NAME: CFA Institute</p> <p>2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS: C. JEANNIE ANDERSON 915 EAST HIGH STREET CHARLOTTESVILLE, VA</p> <p>3.) CITY OR COUNTY OF VA REGISTERED OFFICE: ALBEMARLE COUNTY</p> <p>4.) STATE OR COUNTRY OF INCORPORATION: VA</p> </div> <div style="width: 35%;"> <p>DUE DATE: 7/31/2013</p> <p>SCC ID NO: 02901643</p> <p>5.) STOCK INFORMATION</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">CLASS</td> <td style="width: 50%;">AUTHORIZED</td> </tr> </table> </div> </div>			CLASS	AUTHORIZED	
CLASS	AUTHORIZED				
<p>6.) PRINCIPAL OFFICE ADDRESS:</p> <p style="margin-left: 40px;">ADDRESS: 915 East High Street</p> <p style="margin-left: 40px;">CITY/ST/ZIP: CHARLOTTESVILLE, VA 22902</p>					
<p>7.) DIRECTORS AND PRINCIPAL OFFICERS: All directors and principal officers must be listed. An individual may be designated as both a director and an officer.</p>					
<table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; vertical-align: top;"> NAME: JOHN D ROGERS TITLE: P/CEO ADDRESS: CFA INSTITUTE 560 RAY C. HUNT DR. CITY/ST/ZIP/CO: CHARLOTTESVILLE, VA 22903 </td> <td style="width: 5%; text-align: center; vertical-align: top;"> <input checked="" type="checkbox"/> </td> <td style="width: 40%; text-align: center; vertical-align: top;"> OFFICER <input checked="" type="checkbox"/> DIRECTOR </td> </tr> </table>			NAME: JOHN D ROGERS TITLE: P/CEO ADDRESS: CFA INSTITUTE 560 RAY C. HUNT DR. CITY/ST/ZIP/CO: CHARLOTTESVILLE, VA 22903	<input checked="" type="checkbox"/>	OFFICER <input checked="" type="checkbox"/> DIRECTOR
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<table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; vertical-align: top;"> NAME: AARON LOW TITLE: VICE CHAIRMAN ADDRESS: LUMEN ADVISORS. 30 CECIL ST. SINGAPORE, 04971, SINGAPORE CITY/ST/ZIP/CO: , , FN </td> <td style="width: 5%; text-align: center; vertical-align: top;"> <input checked="" type="checkbox"/> </td> <td style="width: 40%; text-align: center; vertical-align: top;"> OFFICER <input checked="" type="checkbox"/> DIRECTOR </td> </tr> </table>			NAME: AARON LOW TITLE: VICE CHAIRMAN ADDRESS: LUMEN ADVISORS. 30 CECIL ST. SINGAPORE, 04971, SINGAPORE CITY/ST/ZIP/CO: , , FN	<input checked="" type="checkbox"/>	OFFICER <input checked="" type="checkbox"/> DIRECTOR
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<table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; vertical-align: top;"> NAME: ALAN M. MEDER, CFA TITLE: PAST CHAIRMAN ADDRESS: DUFF & PHELPS INVESTMENT MNGT. 200 S. WACKER DR., SUITE 500 CITY/ST/ZIP/CO: CHICAGO, IL 60606 </td> <td style="width: 5%; text-align: center; vertical-align: top;"> <input checked="" type="checkbox"/> </td> <td style="width: 40%; text-align: center; vertical-align: top;"> OFFICER <input checked="" type="checkbox"/> DIRECTOR </td> </tr> </table>			NAME: ALAN M. MEDER, CFA TITLE: PAST CHAIRMAN ADDRESS: DUFF & PHELPS INVESTMENT MNGT. 200 S. WACKER DR., SUITE 500 CITY/ST/ZIP/CO: CHICAGO, IL 60606	<input checked="" type="checkbox"/>	OFFICER <input checked="" type="checkbox"/> DIRECTOR
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<table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; vertical-align: top;"> NAME: CHARLES J. YANG TITLE: CHAIRMAN ADDRESS: T&D ASSET MNGT., 1-2-3 KAIGAN TOKYO, 105-0, JAPAN CITY/ST/ZIP/CO: , , FN </td> <td style="width: 5%; text-align: center; vertical-align: top;"> <input checked="" type="checkbox"/> </td> <td style="width: 40%; text-align: center; vertical-align: top;"> OFFICER <input checked="" type="checkbox"/> DIRECTOR </td> </tr> </table>			NAME: CHARLES J. YANG TITLE: CHAIRMAN ADDRESS: T&D ASSET MNGT., 1-2-3 KAIGAN TOKYO, 105-0, JAPAN CITY/ST/ZIP/CO: , , FN	<input checked="" type="checkbox"/>	OFFICER <input checked="" type="checkbox"/> DIRECTOR
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<table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; vertical-align: top;"> NAME: JOSEPH P. LANGE TITLE: SECRETARY ADDRESS: CFA INSTITUTE 560 RAY C. HUNT DR. CITY/ST/ZIP/CO: CHARLOTTESVILLE, VA 22903 </td> <td style="width: 5%; text-align: center; vertical-align: top;"> <input checked="" type="checkbox"/> </td> <td style="width: 40%; text-align: center; vertical-align: top;"> OFFICER <input type="checkbox"/> DIRECTOR </td> </tr> </table>			NAME: JOSEPH P. LANGE TITLE: SECRETARY ADDRESS: CFA INSTITUTE 560 RAY C. HUNT DR. CITY/ST/ZIP/CO: CHARLOTTESVILLE, VA 22903	<input checked="" type="checkbox"/>	OFFICER <input type="checkbox"/> DIRECTOR
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NAME:	TIMOTHY G MCLAUGHLIN	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
TITLE:	MGR DIR/CFO		
ADDRESS:	CFA INSTITUTE 560 RAY C. HUNT DR.		
CITY/ST/ZIP/CO:	CHARLOTTESVILLE, VA 22903		
NAME:	SAEED M. AL-HAJERI	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	DIRECTOR		
ADDRESS:	ADIA BLDG., 211 CORNICHE ST.		
CITY/ST/ZIP/CO:	ABU DHABI, UNITED ARAB EMIRATES , , FN		
NAME:	GIUSEPPE BALLOCCI	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	DIRECTOR		
ADDRESS:	PICTET & CIE, BANQUIERS, ROUTE DES ACACIAS 60		
CITY/ST/ZIP/CO:	GENEVA 73, CH-12, SWITZERLAND , , FN		
NAME:	HEATHER BRILLIANT	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	DIRECTOR		
ADDRESS:	MORNINGSTAR, 22 W. WASHINGTON		
CITY/ST/ZIP/CO:	CHICAGO, IL 60602		
NAME:	BETH HAMILTON-KEEN	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	DIRECTOR		
ADDRESS:	MAWER INVESTMENT MNGT., 603-7 AVENUE SW		
CITY/ST/ZIP/CO:	CALGARY, ALBERT, T2P 2, CANADA , , FN		
NAME:	ROBERT JENKINS	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	DIRECTOR		
ADDRESS:	FLAT J, 31 RANDOLPH AVE.		
CITY/ST/ZIP/CO:	LONDON, W9 1B, UNITED KINGDOM (GREAT BRITAIN) , , FN		
NAME:	JAMES G. JONES	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	DIRECTOR		
ADDRESS:	STERLING INVESTMENT ADVISORS, 2095 S. BOSTON		
CITY/ST/ZIP/CO:	BOLIVAR, MO 65613		
NAME:	ATTILA KOKSAL	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	DIRECTOR		
ADDRESS:	STANDARD UNLU A.S., B BLK NO:1 KAT:1		
CITY/ST/ZIP/CO:	ISTANBUL, MASLAK, 34398, TURKEY , , FN		
NAME:	MARK LAZBERGER	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	DIRECTOR		
ADDRESS:	COLONIAL FIRST STATE GLOBAL ASSET MNGT., LEVE		
CITY/ST/ZIP/CO:	SYDNEY, NSW 2, AUSTRALIA , , FN		
NAME:	FREDERIC P. LABEL	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	DIRECTOR		
ADDRESS:	HFS HEDGE FUND SELECTION S.A., CHEMIN DES RAN		
CITY/ST/ZIP/CO:	FOUNEX, 1297, SWITZERLAND , , FN		

NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	COLIN W. MCLEAN DIRECTOR SVM ASSET MNGT., 7 CASTLE ST. EDINBURGH,EH2 3,UNITED KINGDOM (GREAT BRITAIN , , FN	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	MATTHEW H. SCANLAN DIRECTOR RS INVESTMENTS, 388 MARKET ST., SUITE 1700 SAN FRANCISCO, CA 94111	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	JANE SHAO DIRECTOR LUMIERE PAVILIONS, 105 YAOJIAYUAN RD. BEIJING,10000,CHINA , , FN	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	SUNIL SINGHANIA DIRECTOR RELIANCE MUTUAL FUND, JUPITER MILLS, ELPHINST MUMBAI,40001,INDIA , , FN	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	ROGER URWIN DIRECTOR TOWERS WATSON, LONDON ROAD REIGATE SURREY,RH2 9,UNITED KINGDOM (GREAT B , , FN	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.			
/s/ JOHN D ROGERS SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT	JOHN D ROGERS, P/CEO PRINTED NAME AND CORPORATE TITLE	1/16/2014 DATE	
It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.			